

**CALIFORNIA STATE UNIVERSITY CHANNEL ISLANDS  
COURSE MODIFICATION PROPOSAL**

**Courses must be submitted by October 15, 2010, and finalized by the end of the fall semester to make the next catalog (2011-12) production**

DATE (CHANGE DATE EACH TIME REVISED): 9.28.10 REV 11.17.10

PROGRAM AREA(S) :BUS

**Directions:** All of sections of this form must be completed for course modifications. Use **YELLOWED** areas to enter data. All documents are stand alone sources of course information.

**1. Course Information.**

[Follow accepted catalog format.] (Add additional prefixes if cross-listed)

**OLD**

Prefix FIN Course# 413 Title INVESTMENT ANALYSIS

Units (3)

3 hours lecture per week

hours blank per week

X Prerequisites: FIN 300

Consent of Instructor Required for Enrollment

Corequisites:

**Catalog Description** (Do not use any symbols):

Introduction to different investment strategies along with the securities available to the investor. Institutional features such as types of markets, market indicators, investment banking, types of orders and securities markets regulation are covered.

General Education	Graded	Repeatable
Categories	CR/NC	for up to units
Lab Fee Requested	X A - F	Total Completions

Course Level:	Multiple
X Undergraduate	Optional Enrollment in
Post-bac/Credential	(Student's same semester
Graduate	choice)

**NEW**

Prefix FIN Course# 413 Title INVESTMENT ANALYSIS

Units (3)

3 hours lecture per week

hours blank per week

X Prerequisites: FIN 300

Consent of Instructor Required for Enrollment

Corequisites:

**Catalog Description** (Do not use any symbols):

Introduction to different investment instruments and strategies along with the securities available to the investor. Explores types of markets, market indicators, investment banking, types of orders and securities markets regulation.

General Education	Graded	Repeatable for
Categories	CR/NC	up to units
Lab Fee Requested	X A - F	Total Completions

Course Level:	Multiple
X Undergraduate	Optional Enrollment in same
Post-bac/Credential	(Student's semester
Graduate	choice)

**2. Mode of Instruction (Hours per Unit are defaulted)**

Hegis Code(s) \_\_\_\_\_ (Provided by the Dean)

Existing

Proposed

	Units	Hours Per Unit	Benchmark Enrollment	Graded		Units	Hours Per Unit	Benchmark Enrollment	Graded	CS No. (filled out by Dean)
Lecture	3	1	25	X	Lecture	3	1	30	X	
Seminar		1			Seminar		1			
Lab		3			Lab		3			
Activity		2			Activity		2			
Field Studies					Field Studies					
Indep Study					Indep Study					
Other blank					Other blank					

**3. Course Attributes:**

**General Education Categories:** All courses with GE category notations (including deletions) must be submitted to the GE website: <http://summit.csuci.edu/geapproval>. Upon completion, the GE Committee will forward your documents to the Curriculum Committee for further processing.

**A (English Language, Communication, Critical Thinking)**

- A-1 Oral Communication
- A-2 English Writing
- A-3 Critical Thinking

**B (Mathematics, Sciences & Technology)**

- B-1 Physical Sciences
- B-2 Life Sciences – Biology
- B-3 Mathematics – Mathematics and Applications
- B-4 Computers and Information Technology

**C (Fine Arts, Literature, Languages & Cultures)**

- C-1 Art
- C-2 Literature Courses
- C-3a Language
- C-3b Multicultural

**D (Social Perspectives)**

**E (Human Psychological and Physiological Perspectives)**

**UDIGE/INTD Interdisciplinary**

**Meets University Writing Requirement**

**Meets University Language Requirement**

**American Institutions, Title V Section 40404:** Government US Constitution US History

Refer to website, Exec Order 405, for more information: <http://senate.csuci.edu/comm/curriculum/resources.htm>

**Service Learning Course** (Approval from the Center for Community Engagement must be received before you can request this course attribute).

**4. Justification and Requirements for the Course.** *[Make a brief statement to justify the need for the course]*

**OLD**

This is a required course for the Finance Emphasis.

Requirement for the Major/Minor

X Elective for the Major/Minor

Free Elective

**NEW**

This is a required course for the Finance Option.

Requirement for the Major/Minor

X Elective for the Major/Minor

Free Elective

**Submit Program Modification if this course changes your program.**

**5. Student Learning Outcomes.** (List in numerical order. You may wish to visit resource information at the following website: <http://senate.csuci.edu/comm/curriculum/resources.htm>)

Upon completion of the course, the student will be able to:

**OLD**

1. explain the concept of valuation of securities through risk-return tradeoffs,
2. evaluate hybrid and derivative securities,
3. distinguish among efficient market hypotheses, technical analyses, fundamental analyses and random walk theory,
4. analyze the essentials of portfolio management,
5. This course does not meet university writing, and/or language requirements.

Upon completion of the course, the student will be able to:\*

**NEW**

1. Explain orally and in writing the concept of valuation of securities through risk-return tradeoffs (1,2,3,5)
2. Evaluate hybrid and derivative securities (1,5)
3. Distinguish among efficient market hypotheses, technical analyses, fundamental analyses and random walk theory and present orally and in writing (1,2,3,5)
4. Analyze the essentials of portfolio management and present orally and in writing. (1,2,3,5)

\*Aligns with Program Learning Goals for: 1)Critical thinking, 2) Oral communication, 3) Written Communication, 4) Conduct (Ethics), 5) Competencies in discipline, 6) Collaboration

**6. Course Content in Outline Form.** (Be as brief as possible, but use as much space as necessary)

**OLD**

1. Introduction to Investments

**NEW**

1. Introduction to Investments

2. Securities Markets and Securities Trading
3. Economic Analysis and Industry Analysis
4. Valuation of the Individual Firm
5. Technical Analyses
6. Efficient Market Hypothesis
7. Bond Valuation
8. Duration and Reinvestment Concepts
9. Convertible Bonds
10. Warrants, Options and Futures
11. Portfolio Management and Capital Asset Pricing Model
12. Portfolio Performance

2. Securities Markets and Securities Trading
3. Economic Analysis and Industry Analysis
4. Valuation of the Individual Firm
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8. Duration and Reinvestment Concepts
9. Convertible Bonds
10. Warrants, Options and Futures
11. Portfolio Management and Capital Asset Pricing Model
12. Portfolio Performance

**Does this course content overlap with a course offered in your academic program?** Yes ☐ No ☒

**If YES, what course(s) and provide a justification of the overlap.**

**Does this course content overlap a course offered in another academic area?** Yes ☐ No ☒

**If YES, what course(s) and provide a justification of the overlap.**

**Overlapping courses require Chairs' signatures.**

**7. Cross-listed Courses (Please note each prefix in item No. 1)**

- A. List cross-listed courses (Signature of Academic Chair(s) of the other academic area(s) is required).
- B. List each cross-listed prefix for the course:
- C. Program responsible for staffing:

**8. References. [Provide 3-5 references]**

**OLD**

Fundamentals of Investment Management, Hirt and Block, McGraw-Hill/Irwin  
 Investments Analysis and Management, Charles Jones, John Wiley & Sons  
 Fundamentals of Investing, Gitman & Joehnk, Harper Collins  
 Fundamentals of Investments, Alexander, Sharpe and Bailey, Prentice Hall  
 Investments, Levy, ITP publishers  
 Essentials of Investments, Reily & Norton, Dryden Press.

**NEW**

Fundamentals of Investment Management, Hirt and Block, McGraw-Hill/Irwin  
 Investments Analysis and Management, Charles Jones, John Wiley & Sons  
 Fundamentals of Investing, Gitman & Joehnk, Harper Collins  
 Fundamentals of Investments, Alexander, Sharpe and Bailey, Prentice Hall  
 Investments, Levy, ITP publishers  
 Essentials of Investments, Reily & Norton, Dryden Press.

**9. Tenure Track Faculty qualified to teach this course.**

Priscilla Liang, PhD  
 Other Smith School faculty

**10. Requested Effective Date or First Semester offered:**

**11. New Resource Requested:** Yes ☐ No ☒

**If YES, list the resources needed.**

**A. Computer Needs (data processing, audio visual, broadcasting, other equipment, etc.)**

**B. Library Needs (streaming media, video hosting, databases, exhibit space, etc.)**

**C. Facility/Space/Transportation Needs:**

**D. Lab Fee Requested:** Yes ☐ No ☐ (Refer to the Dean's Office for additional processing)

**E. Other.**

**12. Indicate Changes and Justification for Each.** *[Check all that apply and follow with justification. Be as brief as possible but, use as much space as necessary.]*

<input type="checkbox"/> Course title	<input type="checkbox"/> Course Content
<input type="checkbox"/> Prefix/suffix	<input checked="" type="checkbox"/> Course Learning Outcomes
<input type="checkbox"/> Course number	<input type="checkbox"/> References
<input type="checkbox"/> Units	<input type="checkbox"/> GE
<input type="checkbox"/> Staffing formula and enrollment limits	<input type="checkbox"/> Other <input type="checkbox"/>
<input type="checkbox"/> Prerequisites/Corequisites	<input type="checkbox"/> Reactivate Course
<input checked="" type="checkbox"/> Catalog description	
<input checked="" type="checkbox"/> Mode of Instruction	

**Justification:** Updated Student Learning Objectives (SLO) and aligns SLO with Program Learning Goals (PLG)

**13. Will this course modification alter any degree, credential, certificate, or minor in your program?** Yes ☐ No ☒

If, YES attach a program update or program modification form for all programs affected.

Priority deadline for New Minors and Programs: **October 4, 2010** of preceding year.

Priority deadline for Course Proposals and Modifications: **October 15, 2010**.

Last day to submit forms to be considered during the current academic year: **April 15<sup>th</sup>**.

William P. Cordeiro

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Proposer(s) of Course Modification

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Date

Type in name. Signatures will be collected after Curriculum approval.

## Approval Sheet

**Course:** [REDACTED]

If your course has a General Education Component or involves Center affiliation, the Center will also sign off during the approval process.

Multiple Chair fields are available for cross-listed courses.

Program Chair		
	Signature	Date
Program Chair		
	Signature	Date
Program Chair		
	Signature	Date
General Education Chair		
	Signature	Date
Center for Intl Affairs Director		
	Signature	Date
Center for Integrative Studies Director		
	Signature	Date
Center for Multicultural Engagement Director		
	Signature	Date
Center for Civic Engagement and Service Learning Director		
	Signature	Date
Curriculum Chair		
	Signature	Date
Dean of Faculty		
	Signature	Date